

§2 Yang-Mills connections and anti-self-dual instantons

§2.1 Yang-Mills connections

- Let
- $X$  : compact, oriented, smooth manifold.
  - Fix a Riemannian metric  $g$  on  $X$ .
  - $G$  : compact Lie group
  - $P \rightarrow X$ , principal  $G$ -bundle over  $X$

$\mathfrak{g}$  : Lie algebra of  $G$

$\langle, \rangle$  : invariant metric under the adjoint action of Lie group

e.g.  $\langle A, B \rangle = -\text{tr}(AB)$  for  $A, B \in \mathfrak{su}(2)$

$\Rightarrow$  inner products  $\langle, \rangle$  on  $\wedge^k T^*X \otimes \mathfrak{g}_P$

Denote by  $|\cdot|$  the norm induced by this.

Yang-Mills functional (on Y-M energy, Y-M action)

For  $A \in \mathcal{A}_P := \{ \text{all connections on } P \}$

define  $YM(A) := \int_X |F_A|^2 d\text{vol}_g$ .

$F_A$  : the curvature of  $A$ .

As the metric is invariant under the adjoint action, and  $F_{\varphi(A)} = \varphi^{-1} F_A \varphi$ , where  $\varphi$  is a gauge transformation, this functional is gauge invariant i.e.

$$Y_M(\varphi(A)) = Y_M(A)$$

So, this is defined on  $\mathcal{B}_P := \mathcal{A}_P / \mathcal{G}_P$ .

### Yang-Mills connections

We consider the Euler-Lagrange equation of Yang-Mills functional. i.e. critical points of Yang-Mills functional.

Take  $A + t\alpha \in \mathcal{A}_P$ , where  $A \in \mathcal{A}_P$ ,  $\alpha \in \mathcal{V}'(x, \mathcal{E}_P)$ ,  $t \in \mathbb{R}$ .

Then  $F_{A+t\alpha} = F_A + t d_A \alpha + \frac{1}{2} t^2 [\alpha \wedge \alpha]$ ,

So  $\frac{d}{dt} Y_M(A+t\alpha) \Big|_{t=0} = 2 \int_X \langle F_A, d_A \alpha \rangle d\text{Vol}_g$

Hence

$A$  is a critical point  $\iff d_A^* F_A = 0$   
of Yang-Mills functional

( $d_A^*$ : the formal adjoint of  $d_A$ .)

Def.

A connection  $A$  is a Yang-Mills connection

if  $A$  satisfies  $dA^* F_A = 0$ .

Remark. the Bianchi identity  $dA F_A = 0$ .

So Yang-Mills connections can be seen as a non-linear analogue of harmonic forms ( $d\alpha = 0, \alpha^*\alpha = 0$ )

### §2.2 Anti-self-dual instantons

$X$ : compact, oriented smooth 4-manifold with Riemann metric  $g$ .

$*g$ : the Hodge star operator

$$*g: \Lambda^2_X \rightarrow \Lambda^2_X, \quad \Lambda^2_X := \Lambda^2 T^*X$$

with  $(*g)^2 = 1$ .

eigenvalues are  $\pm 1$ .

$$\Rightarrow \Lambda^2_X = \Lambda^2_X^+ \oplus \Lambda^2_X^-$$

$\Lambda^2_X^+|_x$ : +1-eigen space of  $*g|_x$

$\Lambda^2_X^-|_x$ : -1-eigen space of  $*g|_x$ .

$x \in X$

This induces

$$\Omega^2(\mathbb{R}P) = \Omega^+(\mathbb{R}P) \oplus \Omega^-(\mathbb{R}P)$$

$$\Omega^\pm(\mathbb{R}P) = \Gamma(\Lambda_x^\pm \otimes \mathbb{R}P)$$

Write  $F_A = F_A^+ + F_A^-$ ,  $F_A^\pm \in \Omega^\pm(\mathbb{R}P)$

Def. A connection  $A$  is an anti-self-dual (ASD) connection (self-dual connection respectively)

if  $A$  satisfies  $F_A^+ = 0$  ( $F_A^- = 0$ )

Rmk.  $F_A^+ = 0 \iff *g F_A = -F_A$   
 $(F_A^- = 0 \iff *g F_A = F_A)$

Prop. ASD connections are Yang-Mills connections

$$\therefore d_A^* F_A = \pm *g d_A *g F_A$$

$$\stackrel{\text{ASD}}{=} \pm *g d_A F_A$$

$$= 0 \quad \square$$

Blanchi

Rmk. the decomposition  $\Lambda^2 = \Lambda_x^+ \oplus \Lambda_x^-$  depends only on the conformal class of Riemannian metrics.

Chern-Weil theory

For simplicity, we take  $G = SU(2)$

$E \rightarrow X$ , associated vector bundle.

$$c_2(E), [X] = \frac{1}{8\pi^2} \int_X \text{tr}(F_A^2) \in \mathbb{Z}.$$

the 2<sup>nd</sup> Chern class of E
fundamental class of X

Exercise

$$\text{tr}(F_A^2) = -(|F_A^+|^2 - |F_A^-|^2) \text{vol}_g.$$

( Hint:  $|F_A|^2 = -\text{tr}(F_A \wedge * F_A)$  )

So,

$$8\pi^2 c_2(E) = \int_X \text{tr}(F_A^2)$$

$$= \int_X |F_A^-|^2 \text{vol}_g - \int_X |F_A^+|^2 \text{vol}_g$$

on the other hand,

$$\chi(A) = \int_X |F_A|^2 \text{vol}_g$$

$$= \int_X |F_A^+|^2 \text{vol}_g + \int_X |F_A^-|^2 \text{vol}_g$$

Thus

$$Y_M(A) = 2 \int_X |F_A^+|^2 + 8\pi^2 c_2(E)$$

In particular,

$$Y_M(A) \geq 8\pi^2 c_2(E)$$

If  $c_2(E) > 0$ , then

$$A : ASD \iff Y_M(A) = 8\pi^2 c_2(E)$$

So ASD instantons attain the minimum of the Yang-Mills functional.

ASD instanton moduli space

$$\mathcal{M}_P := \{A \in \mathcal{A}_P : F_A^+ = 0\} / \text{gp}$$

Example: charge 1 ASD instanton  
moduli space on  $S^4$

(II) - (7)

(ref: M. Atiyah, "Geometry of Yang-Mills fields"  
(1979))

By the conformal invariance of ASD instantons  
and that of Yang-Mills functional in 4 dimensions

$A$ : ASD on  $\mathbb{R}^4$  with

$$\int_{\mathbb{R}^4} |F_A|^2 \text{dvol}_g < \infty$$

$\Leftrightarrow A$ : ASD on  $S^4 \setminus \{\infty\}$  with

$$\int_{S^4} |F_A|^2 \text{dvol}_g < \infty$$

$\Rightarrow$

Removal singularity  
theorem by Uhlenbeck  
(Lect 6)

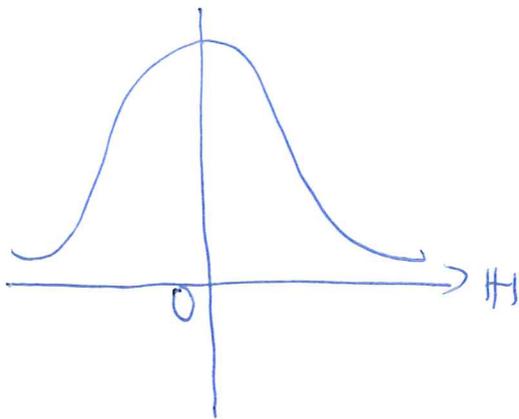
$A$  is extended to  
a smooth ASD instanton  
on  $S^4$ .



scale  $\lambda > 0$ , centre at 0 ASD instanton II - 9

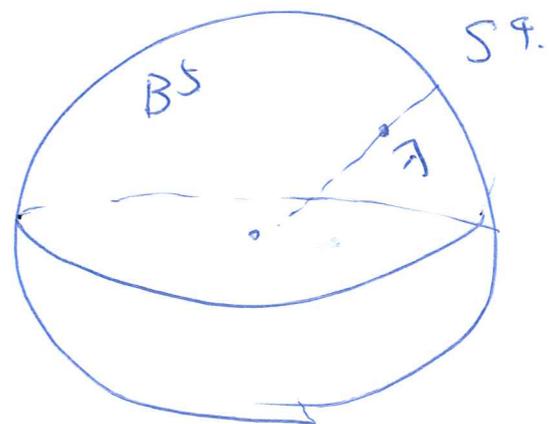
$$A_\lambda = \text{Im} \left( \frac{\alpha d\bar{\alpha}}{\lambda^2 + |\alpha|^2} \right)$$

the curvature  $F_{A_\lambda} = \frac{\lambda^2 d\alpha d\bar{\alpha}}{(\lambda^2 + |\alpha|^2)^2}$



Fact. all ASD instantons with  $G_2(E) = 1$   
on the  $SU(2)$  vector bundle  $E \rightarrow S^4$   
are parametrized by the scales  $\lambda$  and  
the centres modulo gauge transformations

$$\Rightarrow \mathcal{M}_E = B^5$$



Gauge-theoretic moduli problem $\Sigma$  : vector bundle, fibre =  $VL_x^+(\mathfrak{g}_P)$  $\downarrow \quad \uparrow$   $s$ : section defined by  $F_A^+$ 

$$\mathcal{B}_P := \mathcal{A}_P / \mathfrak{g}_P$$

$$(\Sigma := \mathcal{A}_P \times_{\mathfrak{g}_P} VL_x^+(\mathfrak{g}_P))$$

$$\mathcal{M}_P = s^{-1}(0)$$

Problem: construct a fundamental cycle  
out of  $\mathcal{M}_P$

$\Rightarrow$  can integrate Chern classes of the "universal bundle" over  $\mathcal{M}_P$  (intersection theory)

$\Rightarrow$  Donaldson invariants  
(diffeomorphic invariants)

issues

- smoothness
- orientation
- compactness

§2.3 Higher-dimensional analogues of ASD instantons

Let  $X$  : compact, oriented, smooth manifold  
of  $\dim_{\mathbb{R}} = n$   
with Riemannian metric  $g$ .

$\nu$  : closed  $(n-4)$ -form on  $X$ .

$E \rightarrow X$ , vector bundle over  $X$ .

Def

A connection on  $E$  is an  $\nu$ -ASD connection

if  $*_g F_A = -F_A \wedge \nu$

Prop.

$\nu$ -ASD connections are Yang-Mills ones

Examples

- $G_2$ -instantons :  $\nu =$  associative 3-form  
on  $G_2$ -manifolds
- $Spin(7)$ -instantons :  $\nu =$  Cayley 4-form  
on  $Spin(7)$ -manifolds
- Hermitian Yang-Mills connections on Kähler manifolds :  $\nu = \frac{\omega^{m-2}}{(m-2)!}$   
 $m = 2n$   
 $\omega =$  Kähler form

• complex ASD connections  
on Calabi-Yau 4-folds

$$: \nu = 4 \operatorname{Re}(\theta) + \frac{1}{2} \omega^2$$

$\theta$ : holomorphic  
4-form.